

## SIMPLE ROOTS OF DEFORMED PREPROJECTIVE ALGEBRAS

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*For Idun Reiten on her 60th birthday.*

ABSTRACT. In [1] W. Crawley-Boevey gave a description of the set  $\Sigma_\lambda$  consisting of the dimension vectors of simple representations of the deformed preprojective algebra  $\Pi_\lambda$ . In this note we present alternative descriptions of  $\Sigma_\lambda$ .

1. REDUCTION TO  $\Pi_0$ 

Recall that a quiver  $\vec{Q}$  is a finite directed graph on a set of vertices  $Q_v = \{v_1, \dots, v_k\}$ , having a finite set of arrows  $Q_a = \{a_1, \dots, a_l\}$  where we allow both multiple arrows between vertices and loops in vertices. The Euler form of  $\vec{Q}$  is the bilinear form on  $\mathbb{Z}^k$  determined by the integral  $k \times k$  matrix having as its  $(i, j)$ -entry  $\chi_{ij} = \delta_{ij} - \#\{\text{arrows from } v_i \text{ to } v_j\}$ . The double quiver  $\bar{Q}$  of the quiver  $\vec{Q}$  is the quiver obtained by adjoining to every arrow  $a \in Q_a$  an arrow  $a^*$  in the opposite direction. The path algebra  $\mathbb{C}\bar{Q}$  has as  $\mathbb{C}$ -basis the set of all oriented paths  $p = a_{i_u} \dots a_{i_1}$  of length  $u \geq 1$  together with the vertex-idempotents  $e_i$  considered as paths of length zero. Multiplication in  $\mathbb{C}\bar{Q}$  is induced by concatenation (on the left) of paths. For rational numbers  $\lambda_i$ , the deformed preprojective algebra is the quotient algebra

$$\Pi_\lambda = \Pi_\lambda(\bar{Q}) = \frac{\mathbb{C}\bar{Q}}{(\sum_{a \in Q_a} [a, a^*] - \sum_{v_i \in Q_v} \lambda_i e_i)}$$

The (difficult) problem of describing the set  $\Sigma_\lambda$  of all dimension vectors of simple representations of  $\Pi_\lambda$  was solved by W. Crawley-Boevey in [1]. He proved that for  $\alpha$  a positive root of  $\bar{Q}$ ,  $\alpha \in \Sigma_\lambda$  if and only if

$$p(\alpha) > p(\beta_1) + \dots + p(\beta_r)$$

for every decomposition  $\alpha = \beta_1 + \dots + \beta_r$  with  $r \geq 2$  all  $\beta_i$  positive roots of  $\bar{Q}$  such that  $\lambda \cdot \beta_i = 0$  and where  $p(\beta) = 1 - \chi(\beta, \beta)$ .

For a given dimension vector  $\alpha = (a_1, \dots, a_k) \in \mathbb{N}^k$  one defines the affine scheme  $\text{rep}_\alpha \Pi_\lambda$  of  $\alpha$ -dimensional representations of  $\Pi_\lambda$ . There is a natural action of the basechange group  $GL(\alpha) = \prod_{i=1}^k GL_{a_i}$  on this scheme and the corresponding quotient morphism

$$\text{rep}_\alpha \Pi_\lambda \xrightarrow{\pi} \text{iss}_\alpha \Pi_\lambda$$

sends a representation  $V$  to the isomorphism class of the direct sum of its Jordan-Hölder factors. Let  $\xi$  be a geometric point of  $\text{iss}_\alpha \Pi_\lambda$ , then  $\xi$  determines the isomorphism class of a semisimple  $\alpha$ -dimensional representation say with decomposition

$$M_\xi = S_1^{\oplus e_1} \oplus \dots \oplus S_l^{\oplus e_l}$$

with the  $S_i$  distinct simple representations of  $\Pi_\lambda$  with dimension vector  $\beta_i$  which occurs in  $M_\xi$  with multiplicity  $e_i$ . We say that  $\xi$  is of representation type  $\tau = (e_1, \beta_1; \dots; e_l, \beta_l)$ . Construct a graph  $G_B$  depending on the set of simple dimension vectors  $B = \{\beta_1, \dots, \beta_l\}$  having  $l$  vertices  $\{w_1, \dots, w_l\}$  having  $2p(\beta_i) = 2(1 - \chi(\beta_i, \beta_i))$  loops in vertex  $w_i$  and  $-\chi(\beta_i, \beta_j) - \chi(\beta_j, \beta_i)$  edges between  $w_i$  and  $w_j$ .

Let  $\bar{Q}_B$  be the (double) quiver obtained from  $G_B$  by replacing each solid edge by a pair of directed arrows with opposite ordering. In [3, §4] W. Crawley-Boevey proved that there is an étale isomorphism between a neighborhood of  $\xi$  in  $\mathbf{iss}_\alpha \Pi_\lambda$  and a neighborhood of the trivial representation  $\bar{0}$  in  $\mathbf{iss}_{\alpha_\tau} \Pi_0(\bar{Q}_B)$  where  $\alpha_\tau = (e_1, \dots, e_l)$  determined by the multiplicities of the simple factors of  $M_\xi$ .

The arguments in [3, §4] actually prove that there is a  $GL(\alpha)$ -equivariant étale isomorphism between a neighborhood of the orbit of  $M_\xi$  in  $\mathbf{rep}_\alpha \Pi_\lambda(\bar{Q})$  and a neighborhood of the orbit of  $(\bar{1}, 0)$  in the principal fiber bundle

$$GL(\alpha) \times^{GL(\alpha_\tau)} \mathbf{rep}_{\alpha_\tau} \Pi_0(\bar{Q}_\tau)$$

Using the description of  $\Sigma_\lambda$  it was proved in [1] that  $\mathbf{iss}_\alpha \Pi_\lambda$  is irreducible whenever  $\alpha \in \Sigma$ .

In this note we will give two alternative descriptions of the set  $\Sigma_\lambda$  stressing the fundamental role of the extended Dynkin quivers in the study of deformed preprojective algebras. Both descriptions rely on the above irreducibility result so they do *not* give a short proof of Crawley-Boevey's result unless an independent proof of irreducibility of  $\mathbf{iss}_\alpha \Pi_\lambda$  for all  $\alpha \in \Sigma_\lambda$  is found. In the statement of the results we have therefore separated the parts that depend on the irreducibility statement.

**Proposition 1.1.** *Let  $\xi$  be a geometric point of  $\mathbf{iss}_\alpha \Pi_\lambda$  of representation type  $\tau = (e_1, \beta_1; \dots; e_l, \beta_l)$ . The following are equivalent*

1. *Any neighborhood of  $\xi$  in  $\mathbf{iss}_\alpha \Pi_\lambda$  contains a point of representation type  $(1, \alpha)$  (whence, in particular,  $\alpha \in \Sigma_\lambda$ ).*
2.  *$\alpha_\tau = (e_1, \dots, e_l)$  is the dimension vector of a simple representation of  $\Pi_0(\bar{Q}_B)$ .*
3. *Any neighborhood of  $\bar{0}$  in  $\mathbf{iss}_{\alpha_\tau} \Pi_0(\bar{Q}_B)$  contains a point of representation type  $(1, \alpha_\tau)$  (whence, in particular,  $\alpha_\tau$  is the dimension vector of a simple representation of  $\Pi_0(\bar{Q}_B)$ ).*

*If moreover  $\mathbf{iss}_\alpha \Pi_\lambda$  is irreducible these statements are equivalent to*

- $\alpha \in \Sigma_\lambda$ .

*Proof.* By comparing the stabilizer subgroups of the closed orbits determined by corresponding points under the étale isomorphism it follows that (1)  $\Leftrightarrow$  (3) and clearly (3)  $\Rightarrow$  (2). Because the equations of  $\Pi_0(\bar{Q}_B)$  are homogeneous there is a  $\mathbb{C}^*$ -action on  $\mathbf{rep}_{\alpha_\tau} \Pi_0(\bar{Q}_B)$  (multiplying all matrices by  $t \in \mathbb{C}^*$ ). The limit point  $t \rightarrow 0$  of any representation is the trivial representation. Starting from a simple representation  $V$ , any neighborhood of  $\bar{0}$  contains a point determined by  $t.V$  for suitable  $t$  proving (2)  $\Rightarrow$  (3). To prove that •  $\Rightarrow$  (1) observe that the set of all points of representation type  $(1, \alpha)$  form an open subset of  $\mathbf{iss}_\alpha \Pi_\lambda$  (follows from the étale local description), whence if  $\mathbf{iss}_\alpha \Pi_\lambda$  is irreducible this set is dense.  $\square$

This result allows us to describe  $\Sigma_\lambda$  inductively if we can determine the sets of simple dimension vectors for preprojective algebras. The induction starts off by

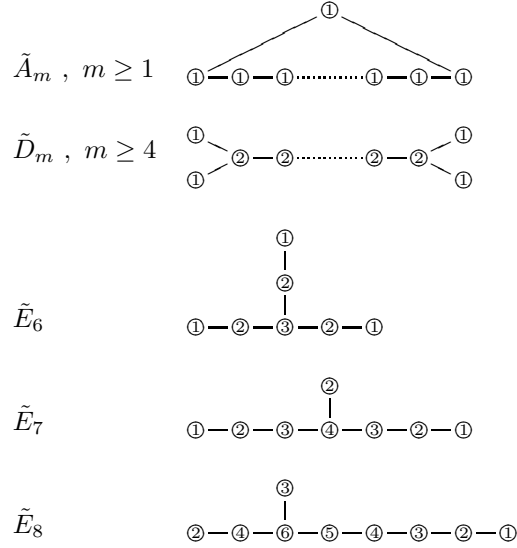


FIGURE 1. The tame settings.

taking the positive roots  $\alpha$  for  $\vec{Q}$  minimal w.r.t.  $\lambda.\alpha = 0$ . It follows from the easier part of [1] that these  $\alpha \in \Sigma_\lambda$ .

## 2. GENETIC DESCRIPTION OF $\Sigma_0$

In this section we start with the quiver  $\vec{Q}$  and will give an inductive procedure to determine  $\Sigma_0$ , the set of simple dimension vectors of  $\Pi_0 = \Pi(\vec{Q})$ .

Assume we have constructed a set  $B = \{\beta_1, \dots, \beta_l\}$  with  $\beta_i \in \Sigma_0$  (we can take  $\beta = \beta_i = \beta_j$  for  $i \neq j$  provided  $p(\beta) > 0$ ). We want to determine the *minimal* linear combinations

$$\alpha = e_1\beta_1 + \dots + e_l\beta_l$$

such that  $\alpha \in \Sigma_0$ . We will do this in terms of the graph  $G_B$  constructed in the previous section and the dimension vector  $\alpha_\tau = (e_1, \dots, e_l)$ .

The tame settings are the couples  $(D, \delta)$  where  $D$  is an extended Dynkin diagram and  $\delta$  the corresponding imaginary root. The list of tame settings is given in figure 1. We say that a tame setting  $(D, \delta)$  is contained in  $(G_B, \alpha_\tau)$  if  $D$  is a subgraph of  $G_B$  and if  $\delta \leq \alpha_\tau$ .

Recall from [4] that all polynomial invariants of quivers are generated by taking traces along oriented cycles in the quiver. As a consequence, the coordinate algebra  $\mathbb{C}[\text{iss}_\alpha \Pi_0] = \mathbb{C}[\text{rep}_\alpha \Pi_0]^{GL(\alpha)}$  is generated by traces in the quiver  $\vec{Q}$ . Note that non-trivial invariants exist whenever  $\alpha \in \Sigma_0$  and  $\alpha$  is not a real root of  $\vec{Q}$ . The crucial ingredient in our descriptions is the following technical result.

**Proposition 2.1.** *For  $\alpha \in \Sigma_0$ , if  $\alpha$  is not a real root of  $\vec{Q}$  and  $\vec{Q}$  has only loops at vertices where  $\alpha$  is one, then there is a non-loop tame setting  $(\bar{D}, \delta)$  contained in  $(\vec{Q}, \alpha)$ .*

*Proof.* Assume  $\vec{Q}$  is a counterexample with a minimal number of vertices. There are at most two directed arrows between two vertices ( $(\vec{A}_1, (1, 1))$  is not contained)

so we can define the graph  $G$  replacing a pair of directed arrows by a solid edge. Then,  $G$  is a tree ( $(\tilde{A}_m, (1, \dots, 1))$  is not contained).

We claim that the component of  $\alpha$  for every internal (not a leaf) vertex is at least two. Assume  $v$  is internal and has dimension one, then any non-zero trace  $tr(c)$  along a circuit in  $\Gamma$  passing through  $v$  (which must be the case by minimality of the counterexample) can be decomposed as

$$0 \neq tr(c) = tr(t_1)tr(t_2) \dots tr(t_m)$$

where  $t_i$  is part of the circuit along a subtree rooted at  $v$ . But then  $tr(t_i) \neq 0$  when evaluated at representations of the preprojective algebra of the corresponding subtree, contradicting minimality of the counterexample.

Hence,  $G$  is a binary tree ( $(\tilde{D}_4, (2, 1, 1, 1))$  is not contained) and even a star with at most three arms ( $(\tilde{D}_m, (2, \dots, 2, 1, 1, 1, 1))$  is not contained). If  $G$  does not contain  $\tilde{E}_i$  for  $6 \leq i \leq 8$  as subgraph, then  $\tilde{Q}$  is a Dynkin quiver and one knows that in this case there are no nontrivial invariants, a contradiction.

If  $\delta_v$  is the vertex-simple concentrated in vertex  $v$ , we claim that

$$\chi(\alpha, \delta_v) + \chi(\delta_v, \alpha) \leq 0$$

for every vertex  $v$ . Indeed, it follows from [2] that for any non-isomorphic simple  $\Pi_0$ -representations  $V$  and  $W$  of dimension vectors  $\beta$  and  $\gamma$  we have

$$\dim Ext_{\Pi_0}^1(V, W) = -\chi(\beta, \gamma) - \chi(\gamma, \beta)$$

Therefore, twice the dimension of  $\alpha$  at  $v$  is smaller or equal to the sum of the dimensions of  $\alpha$  in the two (maximum three) neighboring vertices. Fill up the arm of  $G$  corresponding to the longest arm of  $\tilde{E}_i$  with dimensions starting with 1 at the leaf and proceeding by the rule that twice the dimension is equal to the sum of the neighboring dimensions, then we obtain a dimension vector  $\beta$  such that

$$\delta_i \leq \beta \leq \alpha$$

where  $\delta_i$  is the imaginary root of  $\tilde{E}_i$ , a contradiction.  $\square$

**Theorem 2.2.** *With notations as above, we have*

1.  $\alpha = e_1\beta_1 + \dots + e_l\beta_l \in \Sigma_0$  whenever  $\delta = (e_1, \dots, e_l)$  is the imaginary root of an extended Dynkin subgraph  $D$  of  $G_B$ .
2. If moreover  $\mathbf{iss}_\alpha \Pi_0$  is irreducible for all  $\alpha \in \Sigma_0$ , the set  $\Sigma_0$  is obtained by iterating the procedure in (1) starting from the set of all real roots of  $\tilde{Q}$ .

*Proof.* (1) : There is a point  $\xi \in \mathbf{iss}_\alpha \Pi_0$  determined by a semi-simple representation  $M_\xi$  of representation type  $\tau = (e_1, \beta_1; \dots; e_l, \beta_l)$ . A neighborhood of  $\xi$  is étale isomorphic to a neighborhood of  $\bar{0}$  in  $\mathbf{iss}_\delta \Pi_0(\tilde{Q}_B)$ . It is well known that  $\mathbf{iss}_\delta \Pi_0(\tilde{D})$  contains points of representation type  $(1, \delta)$  whence  $\delta$  is a dimension vector of a simple representation of  $\Pi_0(\tilde{Q}_B)$  (take a simple of  $\Pi_0(\tilde{D})$  and add zero matrices for the remaining arrows). By proposition 1.1 it follows that  $\alpha \in \Sigma_0$ .

(2) : Let  $\alpha \in \Sigma_0$  and take a decomposition (representation type)

$$\alpha = d_1\beta_1 + \dots + d_l\beta_l$$

with all  $\beta_i \in \Sigma_0$ ,  $\beta_i < \alpha$  and  $d = \sum_i d_i$  minimal. Note that we can take all  $d_i = 1$  whenever  $p(\beta_i) > 0$  (as then there are infinitely many non-isomorphic simples of dimension vector  $\beta_i$ ). As a consequence  $G_B$  only has loops at vertices where  $\alpha_\tau$  is equal to one and  $\alpha_\tau$  is a simple root for  $\Pi_0(\tilde{G}_B)$  (here we used irreducibility of

$\text{iss}_\alpha \Pi_0$  in order to apply proposition 1.1. By proposition 2.1 there is a non-loop tame subsetting  $(D, \delta)$  contained in  $(G_B, \alpha_\tau)$  and if  $\delta = (e_1, \dots, e_l)$  then we have a decomposition

$$\alpha = (d_1 - e_1)\beta_1 + \dots + (d_l - e_l)\beta_l + 1.(\delta.\beta)$$

which has strictly smaller total number of multiplicities unless  $\alpha = \delta.\beta$ . Induction on the total dimension finishes the proof.  $\square$

### 3. ANOTHER DESCRIPTION OF $\Sigma_\lambda$

In this section we reformulate the previous arguments in a more manageable statement.

Take a non-trivial representation type  $\tau = (d_1, \beta_1; \dots; d_l, \beta_l)$  of  $\alpha$  with all  $\beta_i \in \Sigma_\lambda$ . Let  $\tau'$  be the representation type obtained from  $\tau$  by replacing each  $(d_i, \beta_i)$  by  $(1, \beta_i; \dots; 1, \beta_i)$  whenever  $p(\beta_i) > 1$  (see the proof of theorem 2.2) and let  $B'$  be the corresponding set of simple root (some occurring more than once).

**Theorem 3.1.** *The following are equivalent*

1.  $\alpha \in \Sigma_\lambda$  and  $\text{iss}_\alpha \Pi_\lambda$  is irreducible.
2. For all non-trivial representation types  $\tau$  of  $\alpha$  there is a non-loop tame setting contained in  $(G_{B'}, \alpha_{\tau'})$ .

*Proof.* (2)  $\Rightarrow$  (1) : We claim that  $(1, \alpha)$  is the unique maximal representation type in the ordering of inclusion in Zariski-closures. Assume not and let  $\tau$  be another maximal type, then  $\tau = \tau'$  and by proposition 2.1 there is a tame setting contained in  $(G_B, \alpha_\tau)$  but then there are non-loop polynomial invariants, whence  $\tau$  is not maximal.

(1)  $\Rightarrow$  (2) : Follows from proposition 1.1 and proposition 2.1.  $\square$

Hence, the dimension vectors obtained from the genetic construction of theorem 2.2 are exactly those  $\alpha \in \Sigma_0$  such that  $\text{iss}_\alpha \Pi_0$  is irreducible.

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